

FORM PTO-1449, Adapted

# LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>08286.105003</b>	SERIAL NO. <b>09/899,410</b>	FILING DATE <b>July 5, 2001</b>
APPLICANT <b>James O. Farmer et al.</b>		GROUP <b>2633</b>

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>AA</i>	2002/0021465	02/21/02	Moore, Jr. et al.	359	167	
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AJ						
AJ						
AK						

### FOREIGN PATENT DOCUMENTS

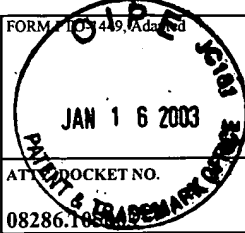
	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
					YES	NO
AL						
AM						
AN						
AO						

### OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AP		
AR		

EXAMINER <i>ATB</i>	DATE CONSIDERED <i>3/24/03</i>
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APPLICANT James O. Farmer et al.	GROUP <del>2033</del> 2633
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AB	BW	5,249,194	09/28/93	Sakanushi	355	167	
AB	BX	5,412,498	05/2/95	Arstein et al.			
AB	BY	6,151,343	11/21/00	Jurgensen			
AB	BZ	5,802,089	09/01/98	Link			
AB	CA	6,097,159	08/01/00	Mogi et al.			
AB	CB	5,778,017	07/07/98	Sato et al.			
AB	CD	5,706,303	01/06/98	Lawrence			
	CE						
	CF						
	CG						
	CH						

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**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
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CK						
CL						
CM						
CN						

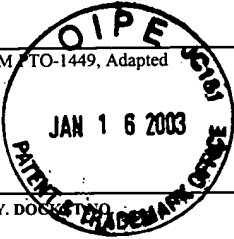
**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

AB	CO	International Search Report dated December 4, 2002 for PCT/US02/27398
	CP	

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APPLICANT <b>James O. Farmer et al.</b>		GROUP <b>2633</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>AB</i>	DA	5,345,504	09/06/94	West, Jr.	<del>359</del>	<del>167</del>	
<i>AB</i>	DB	5,528,582	06/18/96	Bodeep et al.	—	—	
<i>AB</i>	DC	5,892,865	04/06/99	Williams	—	—	
<i>AB</i>	DD	5,861,966	01/19/99	Ortel	—	—	
	DE						
	DF						
	DG						
	DH						
	DI						
	DJ						

**FOREIGN PATENT DOCUMENTS**

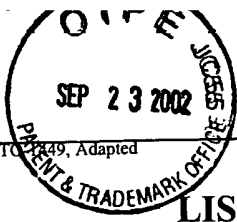
	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
					YES	NO.
	DK					
	DL					
	DM					
	DN					

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

<i>AB</i>	DO	International Search Report dated December 17, 2002 for PCT/US02/15861
	DP	
	DR	
	DS	

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ATTY. DOCKET NO. <b>08286.105003</b>	SERIAL NO. <b>09/899,410</b>	FILING DATE <b>07/05/2001</b>
APPLICANT <b>James O. Farmer et al.</b>		GROUP <del>2874</del> <b>2633</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AB	AA	20010002195	05/31/01	Fellman et al.	359	167	
AB	AB	20010002196	05/31/01	Fellman et al.			
AB	AC	20010030785	10/18/01	Pangrac et al.			
AB	AD	20020039218	04/04/02	Farmer et al.			
AB	AE	20020089725	07/11/02	Farmer et al.			
AB	AF	4,655,517	05/11/87	Widmer			
AB	AG	4,975,899	12/04/90	Faulkner			
AB	AH	5,572,348	11/05/96	Carlson et al.			
AB	AI	5,880,864	03/09/99	Williams et al.			
AB	AJ	RE37,125	04/03/01	Carlson et al.			
AB	AK	6,356,369	03/12/02	Farhan			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.	
AB	AL	EP 0720322 A2	07/03/96	Europe	ALCATEL N.V.		
AB	AM	WO 01/27940 A2	04/19/01	PCT	OPTICAL SOLUTIONS, INC.		
AB	AN	WO 02/030019 A3	04/11/02	PCT	WAVE7 OPTICS, INC.		
AB	AO	WO 02/30020 A2	04/11/02	PCT	WAVE7 OPTICS, INC.		
AB	AP	WO 02/060123 A2	08/01/02	PCT	WAVE7 OPTICS, INC.		

**OTHER REFERENCES** (Including Author, Title, Date, Pertinent Pages, Etc.)

AB	AQ	"Trading Update and Operational Review Presentation" Marconi, September 4, 2001, pgs 1-35.					
AB	AR	"Cable Market" 2 pgs, Marconi Corporation, PLC, 2000 at www.Marconi.com					
AB	AS	"Communications" 2 pgs, Marconi Corporation, PLC, 2000 at www.Marconi.com					
AB	AT	"Dalton Utilities" 9 pgs, Marconi Corporation, PLC, 2002 at www.Marconi.com					

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U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE

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ATTY. DOCKET NO. <b>08286.105003</b>	SERIAL NO. <b>09/899,410</b>	FILING DATE <b>07/05/2001</b>
APPLICANT <b>James O. Farmer et al.</b>		GROUP <b>2874 2633</b>

### OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AB	AU	"Deep Fiber Solutions" 3 pgs, Marconi Corporation, PLC, 2000 at www.Marconi.com
AB	AV	"Deep Fiber HFC Features and Benefits" 1 pg, Marconi Corporation, PLC, 2002 at www.Marconi.com
AB	AW	"Deep Fiber HFC Network Diagram" 1 pg, Marconi Corporation, PLC, 2002 at www.Marconi.com
AB	AX	"Deep Fiber HFC Product Overview: New FITL Configuration" 2 pgs, Marconi Corporation, PLC, 2002 at www.Marconi.com
AB	AY	"Deep Fiber HFC Technical Specifications" 2 pgs, Marconi Corporation, PLC, 2002 at www.Marconi.com
AB	AZ	"Deep Fiber HFC - New FITL Configuration" 1 pg, Marconi Corporation, PLC, 2002 at www.Marconi.com
AB	BA	"En-Touch" at 5 pgs, Marconi Corporation, PLC, 2002 www.Marconi.com
AB	BB	"Fiber to the Home" International Engineering Consortium (no date) pgs 1-10, available at www.iec.com.
AB	BC	"Deep Fiber HFC" Marconi Corporation PLC 2000, pgs 1-2.
AB	BD	"Integrated Voice, Video and Data Services Over a Single Fiber: A Compelling Opportunity for Cable Operators" Marconi Corporation PLC, May 2000, 6 pgs
AB	BE	"Deep Fiber Solutions: Advanced Broadband Services" Marconi Corporation PLC, May 2000, 5pgs.
AB	BF	"Deep Fiber HFC: A Next-Generation Integrated Access Solution Offering Significant Improvement Over Traditional HFC Architectures" Marconi Corporation PLC, May 2000, 8pgs.
AB	BG	"A Gold Mine of Opportunities in the Face of Increasing Competition: Choices for Utilities Entering the Communications Marketplace" Marconi Corporation PLC, May 2000, 6pgs
AB	BH	"Fiber Optics on New Development MDUs: A Research Summary," Marconi Corporation PLC, May 2000, 5pgs.
AB	BI	"Thermal Management Challenges: Small ONU Enclosures," Marconi Corporation PLC, May 2000, 9pgs.
AB	BJ	"Passive Optical Networks - Is There Light at the End of the Access Tunnel?" CIBC World Markets Inc., January 11, 2001, pgs 1-66.
AB	BK	"36.2.4 8B/10B transmission code", IEEE 2000, pgs 966-969.
AB	BL	G. Khoe et al., "Coherent Multicarrier Technology for Implementation in the Customer Access," IEEE, May/June 1993, pgs 695-713.
AB	BM	L. Linnell, "A Wide-Band Local Access System Using Emerging-Technology Components," IEEE, July 1986, pgs. 612-618
AB	BN	"Digital Broadband Delivery System: Out of Band Transport - Mode B," Society of Cable Telecommunications Engineers, Inc., August 10, 1998, 76 pgs.

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